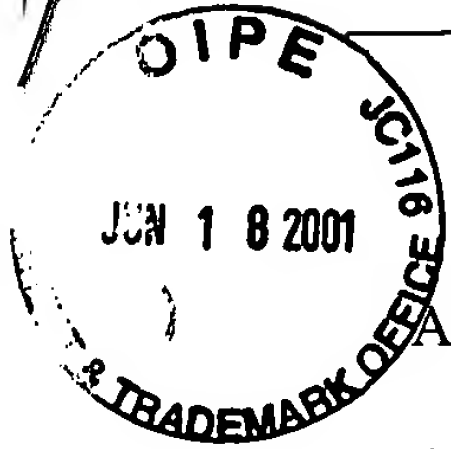


H-1A

Section
PATENT
3

Case Docket No. JOHNA.25C1CP1

Date: June 12, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Lin, et al.

App. No. : 09/802,326

Filed : March 8, 2001

For : METHOD AND
APPARATUS FOR
STERILIZING A LUMEN
DEVICE

Group Art Unit : 1744

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

June 12, 2001

(Date)

Joseph J. Mallon
Joseph J. Mallon, Reg. No. 39,287

TRANSMITTAL LETTER

**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on April 12, 2001, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) Substitute Specification in 36 pages. The Substitute Specification includes no new matter, but has been reformatted with proper margins to comply with 37 C.F.R. § 1.52.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.

PATENT

Case Docket No. JOHNA.25C1CP1

Date: June 12, 2001

(X) Fees as calculated below:

FILING FEE	\$ 710
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SURCHARGE 37 CFR 1.16(e)	\$ + 130
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TOTAL OF ABOVE CALCULATIONS	\$ 840
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CLAIMS AS FILED

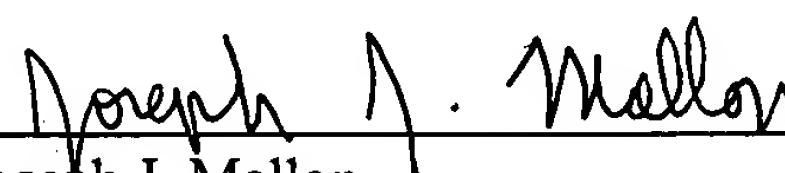
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
Total Claims	44 - 20 =	24 ×	\$18	\$ 432

Independent Claims	6 - 3 =	3 ×	\$80	\$ 240
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TOTAL FEES SUBMITTED HEREWITH	\$ 1,512
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(X) A check in the amount of \$1,512 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.



Joseph J. Mallon
Registration No. 39,287
Attorney of Record



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/802,326	03/08/2001	Szu-Min Lin	JOHNA.25C1CP1

CONFIRMATION NO. 5267

FORMALITIES LETTER



OC000000005962153

KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

Date Mailed: 04/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$672.
 - \$432 for 24 total claims over 20.
 - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1512.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

05/21/2001 ANABI1 00000010 09802326

01 FC:101	710.00 OP
02 FC:103	672.00 OP
03 FC:102	240.00 OP
04 FC:105	130.00 OP

A copy of this notice MUST be returned with the reply.

NK

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE